

“Essence” of the Joint Controller Arrangement according to Article 26 GDPR

Table 1: Who are the joint controllers of the processing activities of the SHARE project (described in Table 2 below)?

Joint Controllers:	Description of institutions/entities:	Scope of responsibility:
Controller A:	The Survey of Health, Ageing and Retirement in Europe – European Research Infrastructure Consortium (SHARE-ERIC), Germany	Entire data processing of the SHARE project in all participating countries
Controller B:	Each individual Scientific Partner Institution of SHARE according to Table 3 below	<i>For host institutions of SHARE Country Teams:</i> All data processing of SHARE concerning the respective country (or, if applicable, part of the country) <i>For host institutions of SHARE Area Teams:</i> All data processing of SHARE concerning the respective research area

Table 2: Which controller is responsible for fulfilling which obligation (Article 26 paragraph 1 GDPR)?

Processing activities:	Processing activity 1	Processing activity 2	Processing activity 3	Processing activity 4
Short description of the processing	Sampling (gathering the contact details of potential SHARE respondents)	Storage of participants' contact data (independent of processing activity 3, i.e., SHARE's data collections/surveys)	SHARE data collection (including all phases and sub-projects)	SHARE data release
Purpose of processing	Processing of potential respondents' data and storage in a database for the initial contact with the SHARE respondents	Storage of participants' data in a database for further contacts with them	Collection (i.e., contacting and interviewing of participants) and processing (during SHARE fieldwork and subsequent data cleaning and quality checks) of the SHARE survey data	Making available the collected and cleaned survey data to the scientific community in accordance with the SHARE Conditions of Use

Responsibility for:				
Article 13 GDPR - <i>Information obligation where personal data are collected from the data subject</i>	Not applicable	A and B A production of generic documents (e.g. letters and consent documents) B translation and delivery	A and B A production of generic documents (e.g. letters and consent documents) B translation and delivery	Not applicable (carried out under processing activities 2 and 3)
Article 14 GDPR - <i>Information obligation where personal data have not been obtained from the data subject</i>	B and A (information is provided during first contact for participation in processing activities 2 and 3)	Not applicable	Not applicable	Not applicable
Article 15 GDPR - <i>Processing of requests for access</i>	B	B (supported by A)	B (supported by A)	B (supported by A)
Article 16 GDPR - <i>Processing of requests for rectification</i>	B	A and B A contact data at A and processors B contact data at B	A (supported by B)	A
Articles 17 and 18 GDPR - <i>Processing of requests for erasure or restriction of processing</i> and Article 19 GDPR- <i>Notification obligation of erasure or restriction of processing</i>	B	A and B A contact data at A and processors B contact data at B	A (supported by B)	A
Article 20 GDPR - <i>Processing of requests for data portability</i>	B	B (supported by A)	B (supported by A)	B (supported by A)
Article 21 GDPR - <i>Processing of objections</i>	B	A and B A contact data at A and processors B contact data at B	A (supported by B)	A
Article 32 GDPR - <i>Security of processing</i>	B (and A)	A and B	A and B	A

* TOMs = <i>technical and organisational measures = measures that are in place to guarantee the security of data processing</i> *	B for TOMs at B's institution (A for TOMs at A's institution and definition of procedures for transfers between A and B)	A for TOMs at A's institution and definition of procedures for transfers between A and B B for TOMs at B's institution	A for TOMs at A's institution and definition of procedures for transfers between A and B B for TOMs at B's institution	
Article 35 GDPR - <i>Data protection impact assessment</i>	Not applicable	Not applicable	A (supported by B)	A
Article 26 paragraph 2 sentence 2 GDPR - <i>Provision of the "essence" of the Arrangement</i>	A	A	A	A
Article 30 GDPR – <i>Maintaining of records of processing activities</i>	B (supported by A)	A and B	A and B	A
Articles 33 and 34 GDPR - <i>Notifiable personal data breaches</i>	B (supported by A)	A (supported by B)	A (supported by B)	A
Articles 6, 7 and 9 GDPR - <i>Lawfulness of processing, conditions of consent and processing of special categories of personal data</i>	B (supported by A): Article 6 paragraph 1 letter e) or f) GDPR and national legal regulations with regard to the sampling for scientific research purposes	A and B: Article 6 paragraph 1 letter a) and Article 9 paragraph 2 letter a) GDPR A production of generic consent documents and definition of procedures B translation and delivery	A and B: Article 6 paragraph 1 letter a) and Article 9 paragraph 2 letter a) GDPR A production of generic consent documents and definition of procedures B translation and delivery	A and B (based on processing activities 2 and 3): Article 6 paragraph 1 letter a) and Article 9 paragraph 2 letter a) GDPR
Article 37 GDPR - <i>Designation of a data protection officer</i>	B (and A)	A and B	A and B	A

Method of provision

The present information as the “essence” of the Arrangement between the joint controllers within the meaning of Article 26 paragraph 2 sentence 2 GDPR is made available by SHARE-ERIC to the data subjects as follows:

Via the central SHARE website (www.share-eric.eu). Additionally, participants in the SHARE study are informed about where they can access the “essence” on the internet in the data protection statement that is provided to them prior to each interview.

Table 3: Scientific Partner Institutions of SHARE

Country	Scientific Partner Institution (addresses and contact details are available here: https://share-eric.eu/infrastructure)
Austria	University of Linz, Dept. of Economics, Linz
Belgium-NL	University of Antwerp, CSB, Antwerp
Belgium-FR	University of Liège, CREPP, Liège
Bulgaria	Institute of philosophy and sociology of BAS (IPS-BAS), Sofia
Croatia	Faculty of Economics & Business (FEB), University of Zagreb (UNIZG), Zagreb
Cyprus	Economics Research Centre (CypERC), Dept. of Economics, University of Cyprus (UCY), Nicosia
Czech Republic	Economics Institute of the Czech Academy of Sciences, Prague
Denmark	University of Southern Denmark, Department of Economics and Department of Public Health, Odense
Estonia	Tallinn University, Estonian Institute for Population Studies, Tallinn
Finland	Väestöliitto, Helsinki University of Eastern Finland, Joensuu and Kuopio
France	LEDa-LEGOS, Paris-Dauphine University, Paris
Germany	Munich Research Institute for the Economics of Aging and SHARE Analyses gGmbH, Munich SHARE Berlin Institute GmbH (SBI), Berlin Technische Universität Dortmund, Dortmund Hochschule für Technik und Wirtschaft des Saarlandes, Saarbrücken
Greece	Panteion University, Athens
Hungary	Centre for Economic and Regional Studies, Budapest
Israel	The Hebrew University of Jerusalem, Jerusalem
Italy	University of Padova, Dept. of Economics and Management, Padova Università Ca' Foscari di Venezia, Department of Economics, Venice
Latvia	Riga Stradins University, Riga
Lithuania	University of Vilnius, Vilnius
Luxembourg	Luxembourg Institute of Socio-Economic Research, Esch-sur-Alzette
Malta	Università ta Malta, Malta
The Netherlands	University of Utrecht, Faculty of Social and Behavioral Sciences, Utrecht
Poland	SGH Warsaw School of Economics, Warsaw
Portugal	University of Minho, Braga University of Porto, Porto
Romania	Alexandru Ioan Cuza University of Iași, Faculty of Economics and Business Administration, Iași
Slovakia	University of Economics in Bratislava, Bratislava
Slovenia	Institute for Economic Research Ljubljana (IER), Ljubljana
Sweden	Centre for Demographic and Ageing Research (CEDAR), Umeå University, Umeå
Spain	Centro de Estudios Monetarios y Financieros (CEMFI), Madrid
Switzerland	Faculty of Business and Economics (HEC), University of Lausanne, Lausanne Swiss Center of Expertise in the Social Sciences (FORS), Lausanne